



PATENT APPLICATION

Docket No.: N.C. 82,575

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Pong, et al.

Serial No. 10/068,506

Filed: 02/08/2002

For: OPTICAL FILTERS COMPRISING SOLAR
BLIND DYES AND UV-TRANSPARENT
SUBSTRATES

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

April 25, 2002

RESPONSE TO NOTICE TO FILE MISSING PARTS

ATTN: BOX MISSING PARTS

Commissioner of Patents and Trademarks
Washington, D.C. 20231

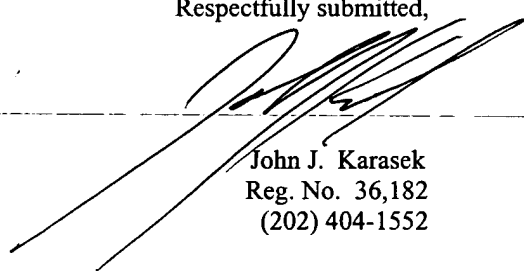
Sir:

This communication is responsive to your "Notice to File Missing Parts of Nonprovisional Application" regarding the above referenced Patent Application, mailed by your office on April 12, 2002.

The Notice indicates that the requisite Oath or Declaration is missing and that a statutory late filing fee is required. Enclosed is a reproduction of the Declaration executed by all named inventors and a copy of the notice as requested. Kindly charge the \$130.00 late filing fee and any additional fees due, or credit overpayment of fees, to Deposit Account 50-0281.

Respectfully submitted,

Prepared by:
Philip E. Ketner
Reg. No. 46,272
(202) 404-1554


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Reg. No. 36,182
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,506	02/08/2002	Richard G. S. Pong	N.C.82,575

CONFIRMATION NO. 9515

FORMALITIES LETTER



OC00000007860199

26384
NAVAL RESEARCH LABORATORY
ASSOCIATE COUNSEL (PATENTS)
CODE 1008.2
4555 OVERLOOK AVENUE, S.W.
WASHINGTON, DC 20375-5320

Date Mailed: 04/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Maria Quintana

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/15/2002 AWONDAF1 00000154 500281 10068506

FC:105

130.00 CH



MP\$

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 Patent fees are subject to annual revision.		Complete if Known		
		Application Number	10/068,506	
		Filing Date	2/8/2002	
		First Named Inventor	Pong	
		Examiner Name	not yet assigned	
		Group Art Unit	not yet assigned	
TOTAL AMOUNT OF PAYMENT (\$)		130	Attorney Docket No.	82,575

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account Number: 50-0281 Deposit Account Name: Naval Research Laboratory <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status, See 37 CFR 1.27		3. ADDITIONAL FEES																																																																																																																													
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td>130</td></tr><tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for ex parte reexamination</td><td></td></tr><tr><td>112 920* 11 2 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 400 216 200</td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 920 217 460</td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,440 218 720</td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,960 228 980</td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 320 219 160</td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>120 320 220 160</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 280 221 140</td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,280 241 640</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,280 242 640</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 460 243 230</td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144 620 244 310</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50 123 50</td><td></td><td>Processing fee under 37 CFR 1.17(c)</td><td></td></tr><tr><td>126 180 126 180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 740 246 370</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 740 249 370</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 740 279 370</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900 169 900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td colspan="2"></td></tr><tr><td colspan="2">SUBTOTAL (3)</td><td colspan="2">(\$) 130</td></tr></tbody></table>		Large Entity	Small Entity	Fee Description	Fee Paid	105 130 205 65		Surcharge - 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2. EXTRA CLAIM FEES Total Claims -20- = <input type="checkbox"/> X Fee from below <input type="checkbox"/> Fee Paid <input type="checkbox"/> Independent Claims - 3" = <input type="checkbox"/> X <input type="checkbox"/> <input type="checkbox"/> Multiple Dependent <input type="checkbox"/> = <input type="checkbox"/> Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 0																																																																																																																															

*or number previously paid, if greater, For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182
Signature		Telephone	202-404-1552
		Date	5/6/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.